

IMPLEMENTATION REPORT FOR THE EUROPEAN TERRITORIAL COOPERATION GOAL

PART A

IDENTIFICATION OF THE ANNUAL/FINAL IMPLEMENTATION REPORT

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2. OVERVIEW OF THE IMPLEMENTATION OF THE COOPERATION PROGRAMME (ARTICLE 50(2) OF REGULATION (EU) No 1303/2013 AND ARTICLE 14(3)(A) OF REGULATION (EU) No 1299/2013)

Key information on the implementation of the cooperation programme for the year concerned, including on financial instruments, with relation to the financial and indicator data.

2.1. Adoption and launch of Interreg Baltic Sea Region

The Cooperation Programme was adopted by the European Commission on 18 December 2014. In December 2015, an updated version of the Cooperation Programme was adopted. The updates included baseline and target values for the Programme result indicators as well as funding allocation from the European Neighbourhood Instrument (ENI) to the Programme. The Programme was officially launched in the Programme conference that took place in Warsaw from 26 to 27 November 2014.

2.2 Calls for applications

Priorities 1-3

The first call for applications addressing priorities 1-3 opened in December 2014. It was based on a two-step application procedure including the submission of concept notes (282 received) as a first step and the submission of full applications (78 received) on invitation as a second step. As a result of the call, the first 35 projects under priorities 1-3 were selected for funding by the MC in November 2015. By the end of 2015, the contracting of these projects was still to be implemented.

Priority 4

By the end of 2015, two calls had been opened and finalised under specific objective 4.2 Coordination of macro-regional cooperation. The first call opened in December 2014 for applications aiming to support Policy Area Coordinators (PAC) and Horizontal Action Coordinators (HAC) of the EUSBSR. As a result, the first eleven PAC/HAC support projects were approved in April 2015. The second call under specific objective 4.2 addressed the preparation and organisation of the EUSBSR Strategy Forum 2016 and was launched in June 2015. The call resulted in one application that was approved in November 2015. Specific objective 4.1 Seed Money remained closed in 2015 and was planned to be launched during the second half of 2016.

2.3 Support to applicants

Priorities 1-3

Beginning before the Programme was adopted, support and information to potential applicants has been provided by various means. A number of events, including the Programme conference with thematic corners on each Programme priority, a lead applicant seminar and project development workshops, with 404 participants in total, had taken place by the end of 2015. Representatives from the MA/JS also contributed to

several national information events in the Programme countries in 2014 and 2015. The aim of the different events was to inform potential applicants about the Programme and the application procedures. The MA/JS also provided advice and feedback to project ideas in individual consultations during these events as well as at its Rostock and Riga offices and via Skype. In addition, written feedback was provided on request. Further tools for supporting applicants were also put in place, for example, a LinkedIn partner search group or a project management toolkit available on the Programme website. By the time of submission of first call concept notes, the MA/JS had provided feedback to over 170 individual project ideas – to many of them in more than one instance. During the development of concept notes to full applications, almost all project applicants received feedback and advice from the MA/JS in further project development consultations. Starting from October 2015, the MA/JS began to provide feedback to project ideas that would target the second call under priorities 1-3. For an overview of the major Programme events, please see section 2.4.

Priority 4

The MA/JS provided support to PACs/HACs in the development of their applications. A first meeting with PACs/HACs took place in November 2014 in which the Programme rules and the application process were explained. Individual guidance was provided to all PACs/HACs that applied for support in the first call under 4.2. Consultations took place over Skype and phone as well as at the MA/JS Rostock office. After the first eleven projects were approved, the MA/JS organised a workshop to guide PACs/HACs on project implementation and reporting requirements. For the call on preparation and organisation of the EUSBSR Annual Forum 2016, no consultations were offered but the MA/JS provided guidance to the applicant on the formal application procedure.

2.4. Programme implementation tools

Documents and procedures

Documents and procedures developed by the MA/JS in 2015 included concept note and application form templates, partner declarations, subsidy contracts and Programme Manuals for priorities 1-3 and specific objective 4.2. The preparation of the Programme's State aid rules and procedures was a major effort that was supported by an external expert. The MA/JS also regularly exchanged with other ETC programmes to seek harmonisation on the approach to State aid. Comprehensive information, including various guidance documents on State aid, is available on the Programme website. Assessment procedures and templates for assessment of concept notes and full applications were developed internally in the MA/JS.

Monitoring system BAMOS

The development of a new electronic monitoring system (BAMOS) was launched in January 2015 with the support of an external service provider. The system serves as interface between the Programme management bodies, applicants, lead partners and their first level controllers and the SFC2014 database. By the end of 2015, the sections of BAMOS related to application, assessment, admissibility check and funding decision had been finalised.

Communication strategy

The Monitoring Committee approved the Programme Communication Strategy in April 2015 (available at: <http://www.interreg-baltic.eu/about-the-programme/main-documents.html>). The Programme website and corporate design were developed in cooperation with a marketing agency based on the common branding initiative for all Interreg programmes coordinated by Interact. The Programme website was launched in parallel with the Programme conference in November 2014.

Major events

From the launch of the Programme to the end of 2015, the MA/JS organised a number of events, the major ones including:

- Programme conference - Warsaw, November 2014
- Lead applicant seminar - Riga, December 2014
- Two project development workshops - Berlin, May 2015
- Three Monitoring Committee meetings - Kiel, February 2015; Stockholm, April 2015; and Malmö, November 2015

In addition, the MA/JS organised information events to PACs/HACs of the EUSBSR and contributed to various other events, such as national information events, the EUSBSR Annual Forum in June 2015, EUSBSR National Coordinators' meetings and the advisory board of the BONUS programme.

Audit and control system

The audit and control system of Interreg Baltic Sea Region was built on the system used in the predecessor programme. What has changed is that a new Audit Authority, the Ministry of Justice, Cultural and European Affairs of Schleswig-Holstein, was appointed to Interreg Baltic Sea Region. The Audit Strategy for the Programme was developed by the Audit Authority and submitted to the Commission in February 2016.

3. IMPLEMENTATION OF THE PRIORITY AXIS

3.1 Overview of the implementation

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
1	Capacity for innovation	This priority was the most popular in the first call for applications. Altogether 129 concept notes were submitted. The most popular specific objective was 'Non-technological innovation' that received 64 applications. The MC selected 27 concept notes to submit a full application in the second step. In the second step, 12 applications were selected by the MC for funding. These projects are currently under the contracting procedure. Out of the approved projects six are flagship projects of the EU Strategy for the Baltic Sea Region or a part of a flagship project. No significant problems were encountered in this priority.
2	Efficient management of natural resources	In this priority the Programme received 97 concept notes in the first call for applications. The most popular specific objective was 'Clear waters' to which 36 concept notes were submitted. The MC invited 33 concept notes to prepare a full application. In the second step, 13 applications were approved, out of which seven were under specific objective 'Clear waters'. In this priority eight projects are flagship projects of the EU Strategy for the Baltic Sea Region or a part of a bigger flagship. The approved projects are currently under the contracting procedure. No significant problems were encountered in this priority.
3	Sustainable transport	Altogether 56 concept notes were submitted to this priority. They were relatively equally distributed among the five specific objectives. The MC selected 21 concept notes to submit a full application. In the second step, ten applications were approved. Out of them three are flagship

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
		projects of the EU Strategy for the Baltic Sea Region. The approved projects are currently under the contracting procedure. In specific objectives 'Accessibility or remote areas and areas affected by demographic change' and 'Environmentally friendly urban mobility' the Programme did not receive applications of sufficient quality. These topics will receive a specific focus in the second call. No significant problems were encountered in this priority.
4	Institutional capacity for macro-regional cooperation	<p>In this priority two calls were run under specific objective 'Coordination of macro-regional cooperation'. The call for support to EUSBSR Policy Area and Horizontal Action Coordinators received 12 applications. Of the 17 policy areas of the EUSBSR, 13 were covered by these applications. All horizontal actions were covered as well. Two applications needed to be merged in order to fulfil the Programme requirements on minimum number of partners. All applications were approved, contracted and are currently implemented.</p> <p>The second call under specific objective 4.2 addressed the preparation and organisation of the EUSBSR Strategy Forum 2016. The call resulted in one application that was approved in November 2015. The project is contracted and currently under implementation.</p> <p>Specific objective 4.1 'Seed money remained closed in 2015 and was planned to be launched during the second half of 2016.</p>
5	Technical Assistance	As stated in the Cooperation Programme, all Programme management costs, including costs incurred for the MA/JS and contribution to the Audit Authority, are to be funded from the Technical Assistance (TA) budget. The TA budget was endorsed by the Joint Programming Committee in May 2014 and formally approved at the first MC meeting in February 2015.

ID	Priority axis	Key information on the implementation of the priority axis with reference to key developments, significant problems and steps taken to address these problems
		<p>The total TA amount until the end of the implementation period in 2023 will be approximately EUR 21.6 million.</p> <p>The majority of the TA budget (EUR 21.1 million) comprises funding from ERDF (75%) and corresponding national contributions of participating Member States in the Programme (25%). The national contribution from Norway to the TA totals EUR 0.5 million. Upon signature of the respective financing agreements, the ENI TA budget would top up the available ERDF/Norwegian funding. The amount foreseen in section 3.3 amounts to EUR 0.7 million.</p> <p>Overall spending in 2014 and 2015 was considerably low. This is because the majority of preparatory activities were run and accounted for under Baltic Sea Region Programme 2007-2013. No costs were reported in 2014. Spending began in 2015 as new staff were employed for Interreg Baltic Sea Region 2014-2020. In total EUR 164,415 were spent by Investitionsbank Schleswig-Holstein (IB.SH) in 2015. Expenditure incurred by IB.SH, the State Regional Development Agency in Riga, Latvia for hosting the branch office in Riga as well as contributions to the Audit Authority in Kiel, Germany will be reported in the next annual report as verification of TA expenditure is still being done by external first level controllers. No significant problems were encountered in this priority.</p>

3.2 Common and programme specific indicators (Article 50(2) of Regulation (EU) No 1303/2013)

Priority axes other than technical assistance

Priority axis	1 - Capacity for innovation
Investment priority	1a - Enhancing research and innovation (R&I) infrastructure and capacities to develop R&I excellence, and promoting centres of competence, in particular those of European interest

Table 2: Common and programme specific output indicators

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises	63.00	0.00	
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises	63.00	0.00	
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	60.00	0.00	
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	60.00	0.00	
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises	50.00	0.00	
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises	50.00	0.00	
F	CO27	Research, Innovation: Private investment matching public support in innovation or R&D	EUR	1,846,815.00	0.00	

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
		projects				
S	CO27	Research, Innovation: Private investment matching public support in innovation or R&D projects	EUR	1,846,815.00	0.00	
F	PSO01	No. of documented learning experiences	learning experiences	7.00	0.00	
S	PSO01	No. of documented learning experiences	learning experiences	7.00	0.00	
F	PSO02	No. of documented newly developed market products and services	market products and services	4.00	0.00	
S	PSO02	No. of documented newly developed market products and services	market products and services	4.00	0.00	

(1)	ID	Indicator	2014
F	CO01	Productive investment: Number of enterprises receiving support	0.00
S	CO01	Productive investment: Number of enterprises receiving support	0.00
F	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00
S	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00
F	CO27	Research, Innovation: Private investment matching public support in innovation or R&D projects	0.00

(1)	ID	Indicator	2014
S	CO27	Research, Innovation: Private investment matching public support in innovation or R&D projects	0.00
F	PSO01	No. of documented learning experiences	0.00
S	PSO01	No. of documented learning experiences	0.00
F	PSO02	No. of documented newly developed market products and services	0.00
S	PSO02	No. of documented newly developed market products and services	0.00

Priority axis	1 - Capacity for innovation
Investment priority	1a - Enhancing research and innovation (R&I) infrastructure and capacities to develop R&I excellence, and promoting centres of competence, in particular those of European interest
Specific objective	1.1 - 'Research and innovation infrastructures': To enhance market uptake of innovation based on improved capacity of research and innovation infrastructures and their users

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
1.1.1	Capacity of research and innovation infrastructures in the Programme area to implement measures to increase the market uptake of innovation	Qualitative analysis of the state and gaps of capacity	Slightly below medium (2,7)	2014	Medium to good (3,6), focus on more efficient use of human and technical resources			

ID	Indicator	2014 Total	2014 Qualitative
1.1.1	Capacity of research and innovation infrastructures in the Programme area to implement measures to increase the market uptake of		

ID	Indicator	2014 Total	2014 Qualitative
	innovation		

Priority axis	1 - Capacity for innovation
Investment priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies

Table 2: Common and programme specific output indicators

(I)	ID	Indicator	Measurement unit	Target value	2015	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises	207.00	0.00	
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises	207.00	0.00	
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	200.00	0.00	
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	200.00	0.00	
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises	170.00	0.00	
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	Enterprises	170.00	0.00	
F	CO27	Research, Innovation: Private investment	EUR	6,595,766.00	0.00	

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
		matching public support in innovation or R&D projects				
S	CO27	Research, Innovation: Private investment matching public support in innovation or R&D projects	EUR	6,595,766.00	0.00	
F	PSO01	No. of documented learning experiences	learning experiences	25.00	0.00	
S	PSO01	No. of documented learning experiences	learning experiences	25.00	0.00	
F	PSO02	No. of documented newly developed market products and services	market products and services	6.00	0.00	
S	PSO02	No. of documented newly developed market products and services	market products and services	6.00	0.00	

(1)	ID	Indicator	2014
F	CO01	Productive investment: Number of enterprises receiving support	0.00
S	CO01	Productive investment: Number of enterprises receiving support	0.00
F	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00
S	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00
F	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00
S	CO26	Research, Innovation: Number of enterprises cooperating with research institutions	0.00
F	CO27	Research, Innovation: Private investment matching public support in innovation or R&D projects	0.00

(1)	ID	Indicator	2014
S	CO27	Research, Innovation: Private investment matching public support in innovation or R&D projects	0.00
F	PSO01	No. of documented learning experiences	0.00
S	PSO01	No. of documented learning experiences	0.00
F	PSO02	No. of documented newly developed market products and services	0.00
S	PSO02	No. of documented newly developed market products and services	0.00

Priority axis	1 - Capacity for innovation
Investment priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies
Specific objective	1.2 - ‘Smart specialisation’: To enhance growth opportunities based on increased capacity of innovation actors to apply smart specialisation approach

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
1.2.1	Capacity (definition of capacity see section 1 Programme Strategy) of innovation actors (innovation intermediaries, authorities, research institutions, enterprises) in the Programme area to implement smart specialisation strategies	Qualitative analysis of the state and gaps of capacity	Slightly below medium (2,9)	2014	Slightly below good (3,8), focus on enhanced institutionalized knowledge and competence			

ID	Indicator	2014 Total	2014 Qualitative
1.2.1	Capacity (definition of capacity see section 1 Programme Strategy) of innovation actors (innovation intermediaries, authorities, research institutions, enterprises) in the Programme area to implement smart specialisation strategies		

Priority axis	1 - Capacity for innovation
Investment priority	1b - Promoting business investment in R&I, developing links and synergies between enterprises, research and development centres and the higher education sector, in particular promoting investment in product and service development, technology transfer, social innovation, eco-innovation, public service applications, demand stimulation, networking, clusters and open innovation through smart specialisation, and supporting technological and applied research, pilot lines, early product validation actions, advanced manufacturing capabilities and first production, in particular in key enabling technologies and diffusion of general purpose technologies
Specific objective	1.3 - ‘Non-technological innovation’: To advance the Baltic Sea Region performance in non-technological innovation based on increased capacity of innovation actors

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
1.3.1	Capacity of innovation actors (innovation intermediaries, authorities, research institutions, enterprises) in the Programme area to implement measures to increase uptake of non-technological innovation	Qualitative analysis of the state and gaps of capacity	Slightly below medium (2,9)	2014	Medium to good (3,7), focus on enhanced institutionalised knowledge and competence			

ID	Indicator	2014 Total	2014 Qualitative
1.3.1	Capacity of innovation actors (innovation intermediaries, authorities, research institutions, enterprises) in the Programme area to implement measures to increase uptake of non-technological innovation		

Priority axis	2 - Efficient management of natural resources
Investment priority	6b - Investing in the water sector to meet the requirements of the Union's environmental acquis and to address needs, identified by the Member States, for investment that goes beyond those requirements

Table 2: Common and programme specific output indicators

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises	12.00	0.00	
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises	12.00	0.00	
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	10.00	0.00	
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	10.00	0.00	
F	PSO01	No. of documented learning experiences	learning experiences	12.00	0.00	
S	PSO01	No. of documented learning experiences	learning experiences	12.00	0.00	
F	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	Amount in EUR	9,497,904.00	0.00	
S	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	Amount in EUR	9,497,904.00	0.00	

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
F	PSO04	No. of local/regional public authorities/institutions involved	authorities/institutions	48.00	0.00	
S	PSO04	No. of local/regional public authorities/institutions involved	authorities/institutions	48.00	0.00	
F	PSO05	No. of national public authorities/institutions involved	authorities/institutions	19.00	0.00	
S	PSO05	No. of national public authorities/institutions involved	authorities/institutions	19.00	0.00	

(1)	ID	Indicator	2014
F	CO01	Productive investment: Number of enterprises receiving support	0.00
S	CO01	Productive investment: Number of enterprises receiving support	0.00
F	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00
S	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00
F	PSO01	No. of documented learning experiences	0.00
S	PSO01	No. of documented learning experiences	0.00
F	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	0.00
S	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	0.00
F	PSO04	No. of local/regional public authorities/institutions involved	0.00

(1)	ID	Indicator	2014
S	PSO04	No. of local/regional public authorities/institutions involved	0.00
F	PSO05	No. of national public authorities/institutions involved	0.00
S	PSO05	No. of national public authorities/institutions involved	0.00

Priority axis	2 - Efficient management of natural resources
Investment priority	6b - Investing in the water sector to meet the requirements of the Union's environmental acquis and to address needs, identified by the Member States, for investment that goes beyond those requirements
Specific objective	2.1 - 'Clear waters': To increase efficiency of water management for reduced nutrient inflows and decreased discharges of hazardous substances to the Baltic Sea and the regional waters based on enhanced capacity of public and private actors dealing with water quality issues

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
2.1.1	Capacity of public authorities / practitioners (from water management, agricultural, forestry, fisheries etc. sectors) in the Programme area to implement measures to reduce nutrient inflows and decrease discharges of hazardous substances	Qualitative analysis of the state and gaps of capacity	Slightly below medium (2,7)	2014	Medium to good (3,6), focus on better ability to attract new financial resources			

ID	Indicator	2014 Total	2014 Qualitative
2.1.1	Capacity of public		

ID	Indicator	2014 Total	2014 Qualitative
	authorities / practitioners (from water management, agricultural, forestry, fisheries etc. sectors) in the Programme area to implement measures to reduce nutrient inflows and decrease discharges of hazardous substances		

Priority axis	2 - Efficient management of natural resources
Investment priority	6g - Supporting industrial transition towards a resource-efficient economy, promoting green growth, eco-innovation and environmental performance management in the public and private sectors

Table 2: Common and programme specific output indicators

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises	83.00	0.00	
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises	83.00	0.00	
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	80.00	0.00	
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	80.00	0.00	
F	PSO01	No. of documented learning experiences	learning experiences	20.00	0.00	
S	PSO01	No. of documented learning experiences	learning experiences	20.00	0.00	
F	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	Amount in EUR	15,829,839.00	0.00	
S	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	Amount in EUR	15,829,839.00	0.00	

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
F	PSO04	No. of local/regional public authorities/institutions involved	authorities/institutions	80.00	0.00	
S	PSO04	No. of local/regional public authorities/institutions involved	authorities/institutions	80.00	0.00	
F	PSO05	No. of national public authorities/institutions involved	authorities/institutions	32.00	0.00	
S	PSO05	No. of national public authorities/institutions involved	authorities/institutions	32.00	0.00	

(1)	ID	Indicator	2014
F	CO01	Productive investment: Number of enterprises receiving support	0.00
S	CO01	Productive investment: Number of enterprises receiving support	0.00
F	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00
S	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00
F	PSO01	No. of documented learning experiences	0.00
S	PSO01	No. of documented learning experiences	0.00
F	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	0.00
S	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	0.00
F	PSO04	No. of local/regional public authorities/institutions involved	0.00

(1)	ID	Indicator	2014
S	PSO04	No. of local/regional public authorities/institutions involved	0.00
F	PSO05	No. of national public authorities/institutions involved	0.00
S	PSO05	No. of national public authorities/institutions involved	0.00

Priority axis	2 - Efficient management of natural resources
Investment priority	6g - Supporting industrial transition towards a resource-efficient economy, promoting green growth, eco-innovation and environmental performance management in the public and private sectors
Specific objective	2.2 - 'Renewable energy': To increase production of sustainable renewable energy based on enhanced capacity of public and private actors involved in energy planning and supply

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
2.2.1	Capacity of public/private actors in energy planning and supply (authorities, agencies, enterprises, NGOs in energy, waste, forestry and agricultural sector) in the Programme area to implement measures to increase the use of sustainable renewable energy	Qualitative analysis of the state and gaps of capacity	Basic to medium (2,4)	2014	Medium to good (3,5), focus on increased capability to work in transnational environment			

ID	Indicator	2014 Total	2014 Qualitative
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ID	Indicator	2014 Total	2014 Qualitative
2.2.1	Capacity of public/private actors in energy planning and supply (authorities, agencies, enterprises, NGOs in energy, waste, forestry and agricultural sector) in the Programme area to implement measures to increase the use of sustainable renewable energy		

Priority axis	2 - Efficient management of natural resources
Investment priority	6g - Supporting industrial transition towards a resource-efficient economy, promoting green growth, eco-innovation and environmental performance management in the public and private sectors
Specific objective	2.3 - 'Energy efficiency': To increase energy efficiency based on enhanced capacity of public and private actors involved in energy planning

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
2.3.1	Capacity of public and private actors involved in energy planning (public authorities, energy agencies, enterprises, NGOs) in the Programme area to implement measures to increase energy efficiency	Qualitative analysis of the state and gaps of capacity	Basic to medium (2,6)	2014	Medium to good (3,5), focus on better ability to attract new financial resources			

ID	Indicator	2014 Total	2014 Qualitative
2.3.1	Capacity of public and private actors involved in energy		

ID	Indicator	2014 Total	2014 Qualitative
	planning (public authorities, energy agencies, enterprises, NGOs) in the Programme area to implement measures to increase energy efficiency		

Priority axis	2 - Efficient management of natural resources
Investment priority	6g - Supporting industrial transition towards a resource-efficient economy, promoting green growth, eco-innovation and environmental performance management in the public and private sectors
Specific objective	2.4 - 'Resource-efficient blue growth': To advance sustainable and resource-efficient blue growth based on increased capacity of public authorities and practitioners within the blue economy sectors

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
2.4.1	Capacity of public authorities, enterprises, and NGOs in the Programme area to implement measures to advance sustainable business opportunities for blue growth	Qualitative analysis of the state and gaps of capacity	Slightly below medium (2,8)	2014	Medium to good (3,6), focus on more efficient use of human and technical resources			

ID	Indicator	2014 Total	2014 Qualitative
2.4.1	Capacity of public authorities, enterprises, and		

ID	Indicator	2014 Total	2014 Qualitative
	NGOs in the Programme area to implement measures to advance sustainable business opportunities for blue growth		

Priority axis	3 - Sustainable transport
Investment priority	7b - Enhancing regional mobility by connecting secondary and tertiary nodes to TEN-T infrastructure, including multimodal nodes

Table 2: Common and programme specific output indicators

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises	42.00	0.00	
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises	42.00	0.00	
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	40.00	0.00	
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	40.00	0.00	
F	PSO01	No. of documented learning experiences	learning experiences	13.00	0.00	
S	PSO01	No. of documented learning experiences	learning experiences	13.00	0.00	
F	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	Amount in EUR	9,893,650.00	0.00	
S	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	Amount in EUR	9,893,650.00	0.00	

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
F	PSO04	No. of local/regional public authorities/institutions involved	authorities/institutions	52.00	0.00	
S	PSO04	No. of local/regional public authorities/institutions involved	authorities/institutions	52.00	0.00	
F	PSO05	No. of national public authorities/institutions involved	authorities/institutions	21.00	0.00	
S	PSO05	No. of national public authorities/institutions involved	authorities/institutions	21.00	0.00	

(1)	ID	Indicator	2014
F	CO01	Productive investment: Number of enterprises receiving support	0.00
S	CO01	Productive investment: Number of enterprises receiving support	0.00
F	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00
S	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00
F	PSO01	No. of documented learning experiences	0.00
S	PSO01	No. of documented learning experiences	0.00
F	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	0.00
S	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	0.00
F	PSO04	No. of local/regional public authorities/institutions involved	0.00

(1)	ID	Indicator	2014
S	PSO04	No. of local/regional public authorities/institutions involved	0.00
F	PSO05	No. of national public authorities/institutions involved	0.00
S	PSO05	No. of national public authorities/institutions involved	0.00

Priority axis	3 - Sustainable transport
Investment priority	7b - Enhancing regional mobility by connecting secondary and tertiary nodes to TEN-T infrastructure, including multimodal nodes
Specific objective	3.1 - 'Interoperability of transport modes': To increase interoperability in transporting goods and persons in north-south and east-west connections based on increased capacity of transport actors

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
3.1.1	Capacity of public and private transport actors (public authorities, logistic and transport operators, ports, intergovernmental and research org.) in the Programme area to implement measures increasing interoperability between transport modes and systems	Qualitative analysis of the state and gaps of capacity	Basic to medium (2,3)	2014	Slightly below medium (2,9), focus on improved governance structures and organizational set-up			

ID	Indicator	2014 Total	2014 Qualitative
3.1.1	Capacity of public and private transport actors (public		

ID	Indicator	2014 Total	2014 Qualitative
	authorities, logistic and transport operators, ports, intergovernmental and research org.) in the Programme area to implement measures increasing interoperability between transport modes and systems		

Priority axis	3 - Sustainable transport
Investment priority	7b - Enhancing regional mobility by connecting secondary and tertiary nodes to TEN-T infrastructure, including multimodal nodes
Specific objective	3.2 - ‘Accessibility of remote areas and areas affected by demographic change’: To improve the accessibility of the most remote areas and regions whose accessibility is affected by demographic change based on increased capacity of transport actors

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
3.2.1	Capacity of public / private transport actors (public authorities, logistic and transport operators) in the Programme area to implement economically efficient solutions to improve the accessibility of remote regions/regions affected by demographic change	Qualitative analysis of the state and gaps of capacity	Slightly below medium (2,8)	2014	Slightly below good (3,8), focus on enhanced institutionalized knowledge and competence			

ID	Indicator	2014 Total	2014 Qualitative
3.2.1	Capacity of public / private transport actors (public authorities, logistic and transport operators) in the Programme area to implement economically efficient solutions to improve the accessibility of remote regions/regions affected by demographic change		

Priority axis	3 - Sustainable transport
Investment priority	7c - Developing and improving environmentally-friendly (including low noise) and low-carbon transport systems, including inland waterways and maritime transport, ports, multimodal links and airport infrastructure, in order to promote sustainable regional and local mobility

Table 2: Common and programme specific output indicators

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
F	CO01	Productive investment: Number of enterprises receiving support	Enterprises	42.00	0.00	
S	CO01	Productive investment: Number of enterprises receiving support	Enterprises	42.00	0.00	
F	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	40.00	0.00	
S	CO04	Productive investment: Number of enterprises receiving non-financial support	Enterprises	40.00	0.00	
F	PSO01	No. of documented learning experiences	learning experiences	13.00	0.00	
S	PSO01	No. of documented learning experiences	learning experiences	13.00	0.00	
F	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	Amount in EUR	9,893,650.00	0.00	
S	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	Amount in EUR	9,893,650.00	0.00	

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
F	PSO04	No. of local/regional public authorities/institutions involved	authorities/institutions	52.00	0.00	
S	PSO04	No. of local/regional public authorities/institutions involved	authorities/institutions	52.00	0.00	
F	PSO05	No. of national public authorities/institutions involved	authorities/institutions	21.00	0.00	
S	PSO05	No. of national public authorities/institutions involved	authorities/institutions	21.00	0.00	

(1)	ID	Indicator	2014
F	CO01	Productive investment: Number of enterprises receiving support	0.00
S	CO01	Productive investment: Number of enterprises receiving support	0.00
F	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00
S	CO04	Productive investment: Number of enterprises receiving non-financial support	0.00
F	PSO01	No. of documented learning experiences	0.00
S	PSO01	No. of documented learning experiences	0.00
F	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	0.00
S	PSO03	Amount of documented planned investments to be realised with other than the Programme funding	0.00
F	PSO04	No. of local/regional public authorities/institutions involved	0.00

(1)	ID	Indicator	2014
S	PSO04	No. of local/regional public authorities/institutions involved	0.00
F	PSO05	No. of national public authorities/institutions involved	0.00
S	PSO05	No. of national public authorities/institutions involved	0.00

Priority axis	3 - Sustainable transport
Investment priority	7c - Developing and improving environmentally-friendly (including low noise) and low-carbon transport systems, including inland waterways and maritime transport, ports, multimodal links and airport infrastructure, in order to promote sustainable regional and local mobility
Specific objective	3.3 - 'Maritime safety': To increase maritime safety and security based on advanced capacity of maritime actors

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
3.3.1	Capacity of maritime actors (maritime admin., rescue services, authorities, shipping operators, ports, research and intergovernmental org.) in the Programme area to implement measures to increase maritime safety and security	Qualitative analysis of the state and gaps of capacity	Basic to medium (2,5)	2014	Medium to good (3,4), focus on more efficient use of human and technical resources			

ID	Indicator	2014 Total	2014 Qualitative
3.3.1	Capacity of maritime actors (maritime admin., rescue services,		

ID	Indicator	2014 Total	2014 Qualitative
	authorities, shipping operators, ports, research and intergovernmental org.) in the Programme area to implement measures to increase maritime safety and security		

Priority axis	3 - Sustainable transport
Investment priority	7c - Developing and improving environmentally-friendly (including low noise) and low-carbon transport systems, including inland waterways and maritime transport, ports, multimodal links and airport infrastructure, in order to promote sustainable regional and local mobility
Specific objective	3.4 - 'Environmentally friendly shipping': To enhance clean shipping based on increased capacity of maritime actors

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
3.4.1	Capacity of maritime actors (maritime admin., rescue services, authorities, shipping operators, ports, research and intergovernmental org.) in the Programme area to implement measures to reduce negative effects of shipping on the marine environment	Qualitative analysis of the state and gaps of capacity	Slightly below medium (2,9)	2014	Medium to good (3,7), focus on better ability to attract new financial resources			

ID	Indicator	2014 Total	2014 Qualitative
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ID	Indicator	2014 Total	2014 Qualitative
3.4.1	Capacity of maritime actors (maritime admin., rescue services, authorities, shipping operators, ports, research and intergovernmental org.) in the Programme area to implement measures to reduce negative effects of shipping on the marine environment		

Priority axis	3 - Sustainable transport
Investment priority	7c - Developing and improving environmentally-friendly (including low noise) and low-carbon transport systems, including inland waterways and maritime transport, ports, multimodal links and airport infrastructure, in order to promote sustainable regional and local mobility
Specific objective	3.5 - 'Environmentally friendly urban mobility': To enhance environmentally friendly transport systems in urban areas based on increased capacity of urban transport actors

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
3.5.1	Capacity of urban transport actors (public authorities, ports, infrastructure providers and operators) in the Programme area to implement environmentally friendly transport solutions in urban areas	Qualitative analysis of the state and gaps of capacity	Basic to medium (2,7)	2014	Medium to good (3,5), focus on increased capability to work in transnational environment			

ID	Indicator	2014 Total	2014 Qualitative
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ID	Indicator	2014 Total	2014 Qualitative
3.5.1	Capacity of urban transport actors (public authorities, ports, infrastructure providers and operators) in the Programme area to implement environmentally friendly transport solutions in urban areas		

Priority axis	4 - Institutional capacity for macro-regional cooperation
Investment priority	11c - Developing and coordinating macro-regional and sea-basin strategies (ETC-TN)

Table 2: Common and programme specific output indicators

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
F	PSO06	No of project plans for a main project including information on possible financial sources	project plans	50.00	0.00	
S	PSO06	No of project plans for a main project including information on possible financial sources	project plans	50.00	0.00	
F	PSO07	No of project plans contributing to joint priorities with the partner countries	project plans	10.00	0.00	
S	PSO07	No of project plans contributing to joint priorities with the partner countries	project plans	10.00	0.00	
F	PSO08	No of transnational meetings held to facilitate implementation of the EUSBSR targets	meetings	60.00	0.00	
S	PSO08	No of transnational meetings held to facilitate implementation of the EUSBSR targets	meetings	60.00	64.00	
F	PSO09	No of transnational meetings held to facilitate joint work on common priorities with the partner countries	meetings	12.00	0.00	
S	PSO09	No of transnational meetings held to facilitate joint work on common priorities with the	meetings	12.00	25.00	

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
		partner countries				
F	PSO10	No of strategic policy documents supporting the implementation of the EUSBSR targets and/or common priorities with the partner countries	documents	10.00	0.00	
S	PSO10	No of strategic policy documents supporting the implementation of the EUSBSR targets and/or common priorities with the partner countries	documents	10.00	10.00	
F	PSO11	No of support measures provided to the EUSBSR	measures	14.00	0.00	
S	PSO11	No of support measures provided to the EUSBSR	measures	14.00	16.00	

(1)	ID	Indicator	2014
F	PSO06	No of project plans for a main project including information on possible financial sources	0.00
S	PSO06	No of project plans for a main project including information on possible financial sources	0.00
F	PSO07	No of project plans contributing to joint priorities with the partner countries	0.00
S	PSO07	No of project plans contributing to joint priorities with the partner countries	0.00
F	PSO08	No of transnational meetings held to facilitate implementation of the EUSBSR targets	0.00
S	PSO08	No of transnational meetings held to facilitate implementation of the EUSBSR targets	0.00
F	PSO09	No of transnational meetings held to facilitate joint work on common priorities with the partner countries	0.00

(1)	ID	Indicator	2014
S	PSO09	No of transnational meetings held to facilitate joint work on common priorities with the partner countries	0.00
F	PSO10	No of strategic policy documents supporting the implementation of the EUSBSR targets and/or common priorities with the partner countries	0.00
S	PSO10	No of strategic policy documents supporting the implementation of the EUSBSR targets and/or common priorities with the partner countries	0.00
F	PSO11	No of support measures provided to the EUSBSR	0.00
S	PSO11	No of support measures provided to the EUSBSR	0.00

Priority axis	4 - Institutional capacity for macro-regional cooperation
Investment priority	11c - Developing and coordinating macro-regional and sea-basin strategies (ETC-TN)
Specific objective	4.1 - 'Seed Money': To increase capacity for transnational cooperation implementing the EU Strategy for the Baltic Sea Region and working on common policies with the partner countries

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
4.1.1	Amount of funding for projects implementing the EUSBSR resulting from seed money projects	Million Euro	0.00	2014	108.00			
4.1.2	Number of organisations from the partner countries working on joint projects resulting from seed money projects	Number of organisations	0.00	2014	9.00			

ID	Indicator	2014 Total	2014 Qualitative
4.1.1	Amount of funding for projects		

ID	Indicator	2014 Total	2014 Qualitative
	implementing the EUSBSR resulting from seed money projects		
4.1.2	Number of organisations from the partner countries working on joint projects resulting from seed money projects		

Priority axis	4 - Institutional capacity for macro-regional cooperation
Investment priority	11c - Developing and coordinating macro-regional and sea-basin strategies (ETC-TN)
Specific objective	4.2 - ‘Coordination of macro-regional cooperation’: To increase capacity of public administrations and pan-Baltic organisations for transnational coordination in implementing the EU Strategy for the Baltic Sea Region and facilitating the implementation of common policies with the partner countries

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
4.2.1	Percentage of EUSBSR priority areas and horizontal actions reaching the identified targets	Number of EUSBSR PA and HA in relation to their total number	0.00	2014	80.00			
4.2.2	Percentage of EUSBSR priority areas and horizontal actions facilitating the implementation of joint priorities with the partner countries	Number of EUSBSR PA and HA in relation to their total number	50.00	2014	60.00			

ID	Indicator	2014 Total	2014 Qualitative
4.2.1	Percentage of EUSBSR priority areas and horizontal actions reaching the identified targets		
4.2.2	Percentage of EUSBSR priority areas and horizontal actions facilitating the implementation of joint priorities with the partner countries		

Priority axes for technical assistance

Priority axis	5 - Technical Assistance
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Table 2: Common and programme specific output indicators

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
F	TA01	Number of (potential) applicants advised	Number	415.00	286.00	200 (Priority 1-3, step 1) + 73 (Priority 1-3, step 2) + 13 (Priority 4, PACs/HACs + Strategy Forum)
S	TA01	Number of (potential) applicants advised	Number	415.00	0.00	
F	TA02	Number of applications received and assessed	Number	260.00	373.00	282 (Priority 1-3, step 1), 78 (Priority 1-3, step 2), 13 (Priority 4, 12 PACs/HACs, of which 2 applications merged into 1 + Strategy Forum)
S	TA02	Number of applications received and assessed	Number	260.00	0.00	
F	TA03	Number of reports checked and paid out	Number	630.00	0.00	
S	TA03	Number of reports checked and paid out	Number	630.00	0.00	
F	TA04	Number of news items published on the programme's website	Number	168.00	64.00	
S	TA04	Number of news items published on the programme's website	Number	168.00	0.00	
F	TA05	Number of own events carried out	Number	14.00	3.00	
S	TA05	Number of own events carried out	Number	14.00	0.00	
F	TA06	Number of participants at programme events	Number	1,580.00	241.00	

(1)	ID	Indicator	Measurement unit	Target value	2015	Observations
S	TA06	Number of participants at programme events	Number	1,580.00	0.00	
F	TA07	Number of other events attended by MA/JS staff	Number	700.00	60.00	
S	TA07	Number of other events attended by MA/JS staff	Number	700.00	0.00	
F	TA08	Number of employees (full-time equivalents) whose salaries are co-financed by technical assistance	Number	186.00	30.00	
S	TA08	Number of employees (full-time equivalents) whose salaries are co-financed by technical assistance	Number	186.00	0.00	

(1)	ID	Indicator	2014
F	TA01	Number of (potential) applicants advised	0.00
S	TA01	Number of (potential) applicants advised	0.00
F	TA02	Number of applications received and assessed	0.00
S	TA02	Number of applications received and assessed	0.00
F	TA03	Number of reports checked and paid out	0.00
S	TA03	Number of reports checked and paid out	0.00
F	TA04	Number of news items published on the programme's website	18.00
S	TA04	Number of news items published on the programme's website	0.00
F	TA05	Number of own events carried out	2.00
S	TA05	Number of own events carried out	0.00

(1)	ID	Indicator	2014
F	TA06	Number of participants at programme events	163.00
S	TA06	Number of participants at programme events	0.00
F	TA07	Number of other events attended by MA/JS staff	13.00
S	TA07	Number of other events attended by MA/JS staff	0.00
F	TA08	Number of employees (full-time equivalents) whose salaries are co-financed by technical assistance	28.00
S	TA08	Number of employees (full-time equivalents) whose salaries are co-financed by technical assistance	0.00

Priority axis	5 - Technical Assistance
Specific objective	5.1 - 'Technical Assistance': To provide sufficient financing to ensure a professional and efficient programme management

Table 1: Result indicators

	Indicator	Measurement unit	Baseline value	Baseline year	Target value (2023) Total	2015 Total	2015 Qualitative	Observations
5.1.1	Share of programme funding allocated to projects	Percentage of funds allocated to projects	0.00	2014	100.00			
5.1.2	N+3 targets fulfilled	Number of annual spending targets fulfilled	0.00	2014	7.00			
5.1.3	Visitors on programme's website	Number of unique visitors	0.00	2014	350,000.00			

ID	Indicator	2014 Total	2014 Qualitative
5.1.1	Share of programme funding allocated to projects		
5.1.2	N+3 targets fulfilled		

ID	Indicator	2014 Total	2014 Qualitative
5.1.3	Visitors on programme's website		

3.4. Financial data

Table 4: Financial information at priority axis and programme level

As set out in Table 1 of Annex II to Commission Implementing Regulation (EU) No 1011/2014 (Model for transmission of financial data) and table 16 of model for cooperation programmes under the European territorial cooperation goal

Priority axis	Fund	Calculation basis	Total funding	Co-financing rate	Total eligible cost of operations selected for support	Proportion of the total allocation covered with selected operations	Public eligible cost of operations selected for support	Total eligible expenditure declared by beneficiaries to the managing authority	Proportion of the total allocation covered by eligible expenditure declared by beneficiaries	Number of operations selected
1	ERDF	Total	102,958,307.00	82.00	0.00	0.00%	0.00	0.00	0.00%	0
1	ENI	Total	3,520,000.00	85.00	0.00	0.00%	0.00	0.00	0.00%	0
2	ERDF	Total	102,958,307.00	82.00	0.00	0.00%	0.00	0.00	0.00%	0
2	ENI	Total	3,520,000.00	85.00	0.00	0.00%	0.00	0.00	0.00%	0
3	ERDF	Total	80,436,178.00	82.00	0.00	0.00%	0.00	0.00	0.00%	0
3	ENI	Total	2,588,236.00	85.00	0.00	0.00%	0.00	0.00	0.00%	0
4	ERDF	Total	15,519,446.00	85.00	0.00	0.00%	0.00	0.00	0.00%	0
4	ENI	Total	103,530.00	85.00	0.00	0.00%	0.00	0.00	0.00%	0
5	ERDF	Public	21,106,452.00	75.00	0.00	0.00%	0.00	0.00	0.00%	0
5	ENI	Public	704,000.00	75.00	0.00	0.00%	0.00	0.00	0.00%	0

Total	ERDF		322,978,690.00	81.69	0.00	0.00%	0.00	0.00	0.00%	0
Total	ENI		10,435,766.00	84.33	0.00	0.00%	0.00	0.00	0.00%	0
Grand total			333,414,456.00	81.77	0.00	0.00%	0.00	0.00	0.00%	0

Where applicable, the use of any contribution from third countries participating in the cooperation programme should be provided (for example IPA and ENI, Norway, Switzerland)

National Norwegian funding is also available, amounting to EUR 11,278,198.00. This funding is envisaged to finance the participation of Norwegian organisations in Interreg Baltic Sea Region projects.

Table 5: Breakdown of the cumulative financial data by category of intervention

As set out in Table 2 of Annex II to Commission Implementing Regulation (EU) No 1011/2014 (Model for transmission of financial data) and tables 6-9 of Model for cooperation programmes

Priority axis	Fund	Intervention field	Form of finance	Territorial dimension	Territorial delivery mechanism	Thematic objective dimension	ESF secondary theme	Economic dimension	Location dimension	Total eligible cost of operations selected for support	Public eligible cost of operations selected for support	The total eligible expenditure declared by beneficiaries to the managing authority	Number of operations selected
1	ERDF												
1	ENI												
2	ERDF												
2	ENI												
3	ERDF												
3	ENI												
4	ERDF												
4	ENI												
5	ERDF												
5	ENI												

Table 6: Cumulative cost of all or part of an operation implemented outside the Union part of the programme area

1. Operation (2)	2. The amount of ERDF support(1) envisaged to be used for all or part of an operation implemented outside the Union part of the programme area based on selected operations	3. Share of the total financial allocation to all or part of an operation located outside the Union part of the programme area (%) (column 2/total amount allocated to the support from the ERDF at programme level *100)	4. Eligible expenditure of ERDF support incurred in all or part of an operation implemented outside the Union part of the programme area declared by the beneficiary to the managing authority	5. Share of the total financial allocation to all or part of an operation located outside the Union part of the programme area (%) (column 4/total amount allocated to the support from the ERDF at programme level *100)
All or part of an operation outside the Union part of the programme area	2,878,475.97	1.09%	0.00	

(1) ERDF support is the Commission decision on the respective cooperation programme.

(2) In accordance with and subject to ceilings set out Article 20 of Regulation (EU) No 1299/2013.

4. SYNTHESIS OF THE EVALUATIONS

4.1 Setting baselines and targets for the Programme result indicators

In 2014-2015 an external evaluation took place to analyse the project portfolio of the predecessor programme (part I) and to set baseline and target values for Interreg Baltic Sea Region result indicators (part II). After public procurement, Ramböll Management Consulting (RMC) was contracted for the task in July 2014. Part II of the evaluation served as a basis for defining the Programme targets in the form of result indicator values. Preparatory works for this were carried out by RMC in 2014, including the operationalisation of result indicators and their dimensions, and the drafting of a background document defining the result indicators of the Programme. This background document was used when drafting the Action Plan on indicators for the Cooperation Programme as required by the European Commission. The final evaluation report including parts I and II was finalised in July 2015.

The Programme progress will be measured three times during its implementation based on the result indicator values and against the targets that were set based on the work done by RMC. The same methodology, relying on surveys and interviews among experts in the Programme countries, will be used for updating the result indicator values. Consequently, the 2014-2015 evaluation sets the foundation for future monitoring of the Programme progress. Programme impact evaluations will be combined with the monitoring of the result indicators. The complete evaluation report (parts I-II) is available at: <http://www.interreg-baltic.eu/about-the-programme/main-documents.html>.

4.2 Evaluation plan

In line with Regulation (EU) 1303/2013, the MA/JS together with the Evaluation Steering Group (ESG), consisting of one MC member from each participating country, developed an evaluation plan for the Programme in 2015. In the programme period 2014-2020, there is a strong focus on result-orientation for programmes funded by the European Structural & Investment Funds. Thus, the focus on programme evaluation falls especially on impact evaluation and on demonstrating the programme contribution to achieving programme objectives. The Programme evaluation plan is a strategic document that will support the Programme implementation by 1) providing a framework for evaluations; 2) ensuring good quality of evaluations through proper planning of individual evaluations; 3) ensuring the timeliness and relevance of evaluations; and 4) ensuring appropriate financial and personnel resources for evaluation activities. The first version of the evaluation plan was approved by the Monitoring Committee in November 2015. A first revision of the evaluation plan was planned for early 2016 in order to address feedback received from the European Commission.

4.3 Internal operational evaluation

As during the predecessor programme, the Programme bodies continue to carry out internal operational evaluation to measure, assess and analyse the progress in Programme implementation in order to contribute to good and appropriate functioning

of the Programme bodies. The levels addressed by operational evaluation are management structures at project level, financial performance, Programme delivery, and management structures at Programme level. The frequency of operational evaluation at different levels is based on needs related to the phases of Programme implementation. In 2015, an internal operational evaluation addressed the management structures at project level. The main areas particularly relevant at this early stage of Programme implementation were the support provided to (potential) applicants by the MA/JS and the assessment of concept notes and project applications.

The operational evaluation of management structures showed that all the required procedures related to the support of applicants and the assessment of applications were in place, functioning properly, and according to the legal framework. This is true for both application steps – the concept note stage and full application stage. The quality of the assessments of project applications and the recommendations given in the assessment were not questioned by the MC, and all projects receiving a positive assessment from the MA/JS were approved.

The workload related to assessing concept notes and full applications in the first call was high and options for simplification will therefore be explored for the second call.

It became obvious that prior consultation has a positive influence on quality of the applications as the approval rate among those projects that received consultation at the full application stage was higher (48 %) than among those that did not receive consultation (25 %). Thus, the aim for the second call is to consult every project at this stage.

In priority 4, more individual support and guidance of applicants could be implemented due to the smaller number of applicants for the call in specific objective 4.2 Coordination of macro-regional cooperation. The individual support was appreciated by applicants and matched their needs. The assessment procedure applied in priority 4 corresponded to the specific aims of the priority and the MC fully followed the recommendations of the MA/JS.

5. ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN

(a) Issues which affect the performance of the programme and the measures taken

Efforts to involve Belarus and Russia in the Programme with ENI funding continued throughout 2015. By the end of 2015, ENI allocations for the two countries had been included in the Cooperation Programme whereas the Financing Agreements between the European Commission and the governments of the two countries were still to be prepared and signed. As a consequence, ENI funds were not available to Russian and Belarusian organisations in the first call for applications that opened in December 2014. In order to ensure the participation of organisations from the two countries, the inclusion of Russian and Belarusian organisations as associated organisations in projects was encouraged. Associated organisations fund their participation in projects independently from the Programme. Partnerships involving Swedish partner(s) could apply for support for Russian and Belarusian associated organisations from the Swedish Institute's funding instrument 'Third Country Participation in the Baltic Sea Region' (TCPBSR). In November 2015 Russia informed that it was planning to allocate Russian national funds to Russian partners in the second call.

Despite the pending ENI allocation and the consequent non-participation of Russia and Belarus in the Programme by the end of 2015, a considerable number of project applications submitted during the first call for priorities 1-3 declared cooperation with Belarus and/or Russia. In total, 5 associated organisations from Belarus and 23 from Russia were involved in the applications selected for funding by the Monitoring Committee in November 2015.

(b) OPTIONAL FOR LIGHT REPORTS, otherwise it will be included in point 9.1. An assessment of whether progress made towards targets is sufficient to ensure their fulfilment, indicating any remedial actions taken or planned, where appropriate.

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6. CITIZEN'S SUMMARY (ARTICLE 50(9) OF REGULATION (EU) NO 1303/2013)

A citizen's summary of the contents of the annual and the final implementation reports shall be made public and uploaded as a separate file in the form of annex to the annual and the final implementation report

You can upload/find the Citizen's summary under General > Documents

**7. REPORT ON THE IMPLEMENTATION OF FINANCIAL INSTRUMENTS
(ARTICLE 46 OF REGULATION (EU) No 1303/2013)**

8. PROGRESS IN PREPARATION AND IMPLEMENTATION OF MAJOR PROJECTS AND JOINT ACTION PLANS (ARTICLE 101(H) AND ARTICLE 111(3) OF REGULATION (EU) No 1303/2013 AND ARTICLE 14(3)(B) OF REGULATION (EU) No 1299/2013)

8.1. Major projects

Table 7: Major projects

Project	CCI	Status of MP	Total investments	Total eligible costs	Planned notification/submission date	Date of tacit agreement/approval by Commission	Planned start of implementation	Planned completion date	Priority Axis/ Investment priorities	Current state of realisation — financial progress (% of expenditure certified to Commission compared to total eligible cost)	Current state of realisation — physical progress Main implementation stage of the project	Main outputs	Date of signature of first works contract (1)	Observations

(1) In the case of operations implemented under PPP structures the signing of the PPP contract between the public body and the private sector body (Article 102(3) of Regulation (EU) No 1303/2013).

8.2. Joint action plans

Progress in the implementation of different stages of joint action plans

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Table 8: Joint action plans (JAP)

Title of the JAP	CCI	Stage of implementation of JAP	Total eligible costs	Total public support	OP contribution to JAP	Priority axis	Type of JAP	[Planned] submission to the Commission	[Planned] start of implementation	[Planned] completion	Main outputs and results	Total eligible expenditure certified to the Commission	Observations
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Significant problems encountered and measures taken to overcome them

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9. ASSESSMENT OF THE IMPLEMENTATION OF THE COOPERATION PROGRAMME (ARTICLE 50(4) OF REGULATION (EU) No 1303/2013 AND ARTICLE 14(4) OF REGULATION (EU) No 1299/2013)

9.1 Information in Part A and achieving the objectives of the programme (Article 50(4) of Regulation (EU) No 1303/2013)

Priority axis	1 - Capacity for innovation
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Priority axis	2 - Efficient management of natural resources
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Priority axis	3 - Sustainable transport
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Priority axis	4 - Institutional capacity for macro-regional cooperation
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Priority axis	5 - Technical Assistance
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9.2. Specific actions taken to promote equality between men and women and to promote non-discrimination, in particular accessibility for persons with disabilities, and the arrangements implemented to ensure the integration of the gender perspective in the cooperation programme and operations (Article 50(4) of Regulation (EU) No 1303/2013 and Article 14(4), subparagraph 2, (d) of Regulation (EU) No 1299/2013)

An assessment of the implementation of specific actions to take into account the principles set out in Article 7 of Regulation (EU) No 1303/2013 on promotion of equality between men and women and non-discrimination, including, where relevant, depending on the content and objectives of the cooperation programme, an overview of specific actions taken to promote equality between men and women and to promote non-discrimination, including accessibility for persons with disabilities, and the arrangements implemented to ensure the integration of the gender perspective in the cooperation programme and operations

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9.3.Sustainable development (Article 50(4) of Regulation (EU) No 1303/2013 and Article 14(4), subparagraph 2, (e) of Regulation (EU) No 1299/2013)

An assessment of the implementation of actions to take into account the principles set out in Article 8 of Regulation (EU) No 1303/2013 on sustainable development, including, where relevant, depending on the content and objectives of the cooperation programme, an overview of the actions taken to promote sustainable development in accordance with that Article

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9.4. Reporting on support used for climate change objectives (Article 50(4) of Regulation (EU) No 1303/2013)

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9.5 Role of partners in the implementation of the cooperation programme (Article 50(4) of Regulation (EU) No 1303/2013 and Article 14(4), subparagraph 1, (c) of Regulation (EU) No 1299/2013)

Assessment of the implementation of actions to take into account the role of partners referred to in Article 5 of Regulation (EU) No 1303/2013, including involvement of the partners in the implementation, monitoring and evaluation of the cooperation programme

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**10. OBLIGATORY INFORMATION AND ASSESSMENT ACCORDING TO
ARTICLE 14(4), SUBPARAGRAPH 1 (A) AND (B), OF REGULATION (EU) No
1299/2013**

**10.1 Progress in implementation of the evaluation plan and the follow-up given to
the findings of evaluations**

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10.2 The results of the information and publicity measures of the Funds carried out under the communication strategy

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11. ADDITIONAL INFORMATION WHICH MAY BE ADDED DEPENDING ON THE CONTENT AND OBJECTIVES OF THE COOPERATION PROGRAMME (ARTICLE 14(4), SUBPARAGRAPH 2 (A), (B), (C) AND (F), OF REGULATION (EU) NO 1299/2013)

11.1 Progress in implementation of the integrated approach to territorial development, including sustainable urban development, and community-led local development under the cooperation programme

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11.2 Progress in implementation of actions to reinforce the capacity of authorities and beneficiaries to administer and to use the ERDF

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11.3 Contribution to macro-regional and sea basin strategies (where appropriate)

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11.4 Progress in the implementation of actions in the field of social innovation

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13. SMART, SUSTAINABLE AND INCLUSIVE GROWTH

Information and assessment of the programme contribution to achieving the Union strategy for smart, sustainable and inclusive growth.

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14. ISSUES AFFECTING THE PERFORMANCE OF THE PROGRAMME AND MEASURES TAKEN — PERFORMANCE FRAMEWORK (ARTICLE 50(2) OF REGULATION (EU) No 1303/2013)

Where the assessment of progress made with regard to the milestones and targets set out in the performance framework demonstrates that certain milestones and targets have not been achieved, Member States should outline the underlying reasons for failure to achieve these milestones in the report of 2019 (for milestones) and in the final implementation report (for targets).

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Documents

Document title	Document type	Document date	Local reference	Commission reference	Files	Sent date	Sent By
Citizen's summary	Citizens' summary	12-May-2016		Ares(2016)2450818	Citizen's summary	26-May-2016	ndittrgu